

CITY OF PACIFICA

170 Santa Maria Avenue • Pacifica, California 94044-2506 <u>www.cityofpacifica.org</u> MAYOR Sue Digre

MAYOR PRO TEM Mike O'Neill

COUNCIL Karen Ervin Mary Ann Nihart John Keener

SEC Municipal Advisor Rule--IRMA Exemption Notice (Dated April 19, 2016)

The following notice has been prepared and made available by the City of Pacifica (the "City") in light of the Securities and Exchange Commission's ("SEC") "Municipal Advisor Rule."

Pursuant to SEC Rule 15Ba1-1(d)(3)(vi)(B), the City will rely on the advice of its registered municipal financial advisor for any bond issuances or other financial activities undertaken by the City. The City's registered municipal financial advisor is:

Backstrom McCarley Berry & Co., LLC

Contact: Peter C. Wong (415) 857-6103 pwong@bmcbco.com

The City will rely upon the advice of its municipal financial advisor in reviewing any bond issuance-related proposals or any other financial proposal prepared by broker-dealers for presentation to the City. Copies of any such communications to the City are required to be provided by such broker-dealer to the City's registered municipal financial advisors.

Lorenzo Hines Jr.

Assistant City Manager